3624

ATTORNEY DOCKET NO. 09/776,412

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SCHULTZ, et al.

Application No. 09/776,412

Examiner: Havan, Thu Thao

Filing Date: February 2, 2001

For: "Electronic Transaction Receipt System and Method"

ATTORNEY DOCKET NO. 09/776,412

Examiner: Mark Office

Confirmation No. 4078

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

NEEDLE & ROSENBERG, P.C. Customer Number 23859

Sir:

Pursuant to the requirements of 37 C.F.R. § 1.56, submitted herewith on the accompanying Information Disclosure Statement List is a listing of documents known to Applicants and/or their attorneys. In accordance with 37 C.F.R. §1.98(a)(2), copies of any cited U.S. patent or U.S. patent application publication documents are not enclosed. Copies of any cited foreign patent document and/or any non-patent publication are enclosed.

In accordance with the provisions of M.P.E.P. § 2001.06(b) and 37 C.F.R. § 1.98(b)(3), Applicants would like to bring to the attention of the Examiner the existence of the co-pending patent application(s) identified below, which were filed in the United States Patent and Trademark Office:

301616

ATTORNEY DOCKET NO. 01153.0001U2 Application No. 09/776,412

	Application No.	Date Filed	<u>Inventors</u>	Attorney Docket No.
1.	09/776,420*	02/02/2001	Schultz	01153.0001U3
2.	10/153,146*	05/22/2002	Schultz	01153.0001U7
3.	10/153,157*	05/22/2002	Schultz	01153.0001U6
4.	10/153,160*	05/22/2002	Schultz	01153.0001U5

The pending application(s) identified with an asterisk (*) are stored in the Image File Wrapper (IFW) system of the USPTO. Accordingly, copies of the cited specification(s), including the claims and drawings thereof, are not enclosed in accordance with the waiver to 37 CFR 1.98(a)(2)(iii) dated September 21, 2004.

This Information Disclosure Statement is believed to be filed in a timely manner pursuant to 37 C.F.R. § 1.97(c), in that neither a final Office Action nor a Notice of Allowance has been mailed to Applicants. Accordingly, Applicants make the statement required under 37 C.F.R. § 1.97(c)(1).

The undersigned hereby certifies that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Consideration of the cited documents and making the same of record in the prosecution of the above-referenced application are respectfully requested.

301616

ATTORNEY DOCKET NO. 01153.0001U2 Application No. 09/776,412

No fee is believed due; however, the Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 14-0629.

Respectfully submitted,

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Gregory J. Kirsch

Registration No. 35,572

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CERTIFICATE OF MAILING

I hereby certify that this correspondence, including any items indicated as attached or included, is being transmitted by First Class U.S. Mail in an envelope addressed to: Examiner **Daniel S. Felten**, Art Unit **3624**, Commissioner for Patents, Post Office Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Gregory J. Kirsch

Date



ATTORNEY DOCKET NO. 01153.0001U2 APPLICATION NO. 09/776,412 SHEET 1 OF 1

Complete if Known **Application Number** 09/776,412 INFORMATION DISCLOSURE February 2, 2001 Filing Date STATEMENT LIST First Named Inventor Schultz, et al. (Use as many sheets as necessary) Group Art Unit 3624 Havan, Thu Thao **Examiner Name** U.S.PARENT DOGUMENTS Examiner's Cite Document No. Date Name Class Subclass Filing **Initials** No. Date (if appropriate * FOREIGN PATENT DOCUMENTS Examiner's Cite Foreign Patent Document Name Translation Country Code-Number-Kind Code Initials No. Yes/No WO 99/07121 06/10/1999 CustomTracks Corporation 1. WO 99/46706 2. 09/16/1999 Katz WO 99/28861 02/11/1999 **NetAdvantage Corporation** NON-PATENT DOCUMENTS Examiner's Cite Non-Patent Citations (include Author, Title, Publisher, Relevant Pages, Date and Place of Publication) Initials No. ALLEN, CLIFF: "Internet World guide to one-to-one marketing," 1998; John Wiley & Sons, Inc., Canada, XP002335192 **Examiner Signature:** Date Considered: EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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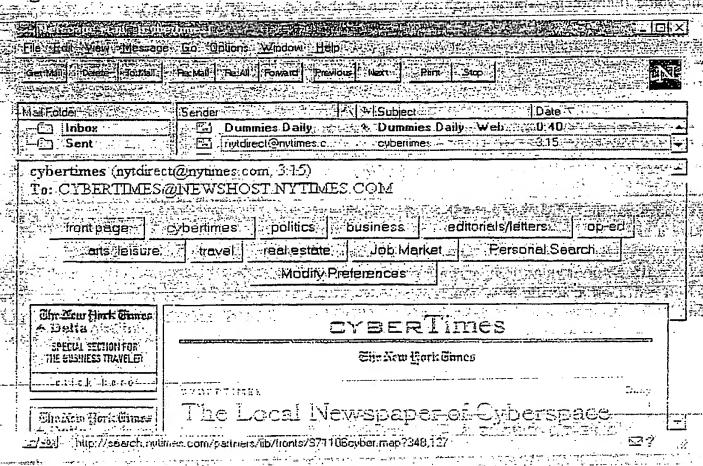
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HTML-Enabled E-Mail

Many Internet e-mail programs now support HTML, which is used to format Web pages. Supporting HTML tags enables marketers to send e-mails that contain hyperlinks, tables, fonts, and graphics. These elements enhance the c-mail-message and make the message look much like a Web page. The New York Times online edition (www.nytimes.com) has an c-mail service called "New York Times Direct" that deliv-

Figure 3.13 HTML-based e-mail from The New York Times.



CHAPTER 3